

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

- 1) Heading of the Part: Access to Public Records of the Illinois Racing Board
- 2) Code Citation: 2 Ill. Adm. Code 2251
- 3) 

<u>Section Numbers:</u>	<u>Adopted Action:</u>
2251.101	New Section
2251.102	New Section
2251.201	New Section
2251.202	New Section
2251.301	New Section
2251.302	New Section
2251.303	New Section
2251.401	New Section
2251.402	New Section
2251.403	New Section
2251.404	New Section
2251.405	New Section
2251.406	New Section
2251.407	New Section
2251.501	New Section
2251.502	New Section
2251.503	New Section
2251.APPENDIX A	New Section
- 4) Statutory Authority: Implementing and authorized by Section 3(h) of the Freedom of Information Act [5 ILCS 140/3(g)]
- 5) Effective Date of Amendments: April 16, 2010
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rules is on file in the Illinois Racing Board central office and is available for public inspection.
- 9) Notice of Proposal Published in the Illinois Register: This rulemaking is adopted pursuant to Section 5-15 of the Illinois Administrative Procedures Act (IAPA) [5 ILCS 100/5-15], so the Board was not required to publish this Part as a proposed rulemaking under Section 5-40 of the IAPA.
- 10) Has JCAR issued a Statement of Objections to these Amendments? This rulemaking is adopted pursuant to Section 5-15 of the IAPA, so it is not subject to First Notice or to

ILLINOIS REGISTER

---

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

Second Notice review by the Joint Committee on Administrative Rules (JCAR).

- 11) Differences between proposal and final version: This rulemaking is adopted pursuant to Section 5-15 of the IAPA, so it is not subject to First Notice or to Second Notice review by JCAR.
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreements issued by JCAR? This rulemaking is adopted pursuant to Section 5-15 of the IAPA, so it is not subject to First Notice or to Second Notice review by JCAR.
- 13) Will these rules replace any emergency rules currently in effect? No
- 14) Are there any proposed rulemakings pending on this Part? No
- 15) Summary and Purpose of Rules: The Board is amending its Access to Public Records regulations to reflect changes made to the Freedom of Information Act [5 ILCS 140] by PA 96-542, which took effect on January 1, 2010.
- 16) Information and questions regarding these adopted rules shall be directed to:

Mickey Ezzo  
Illinois Racing Board  
James R. Thompson Center  
100 W. Randolph St.  
Suite 7-701  
Chicago, IL 60601

312/814-5017

The full text of the Adopted Rules begins on the next page:

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

#### TITLE 2: GOVERNMENTAL ORGANIZATIONS SUBTITLE E: MISCELLANEOUS STATE AGENCIES CHAPTER XXXI: ILLINOIS RACING BOARD

#### PART 2251

#### ACCESS TO PUBLIC RECORDS OF THE ILLINOIS RACING BOARD

##### SUBPART A: INTRODUCTION

Section	
2251.101	Summary and Purpose
2251.102	Definitions

##### SUBPART B: CLASSIFICATION OF RECORDS

Section	
2251.201	Records That Will Be Disclosed
2251.202	Records That Will Be Withheld from Disclosure

##### SUBPART C: PROCEDURES FOR REQUESTING RECORDS FROM THE BOARD

Section	
2251.301	Submittal of Requests for Records
2251.302	Information To Be Provided in Requests for Records
2251.303	Requests for Records for Commercial Purposes

##### SUBPART D: BOARD RESPONSE TO REQUESTS FOR RECORDS

Section	
2251.401	Timeline for Board Response
2251.402	Requests for Records that the Board Considers Unduly Burdensome
2251.403	Requests for Records that Require Electronic Retrieval
2251.404	Denials of Requests for Records
2251.405	Requests for Review of Denials – Public Access Counselor
2251.406	Circuit Court Review
2251.407	Administrative Review

##### SUBPART E: PROCEDURES FOR PROVIDING RECORDS TO REQUESTERS

Section	
2251.501	Inspection and Copying of Records
2251.502	Fees for Records
2251.503	Reduction and Waiver of Fees

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

#### 2251.APPENDIX A Fee Schedule for Duplication and Certification of Records

**AUTHORITY:** Implementing and authorized by Section 3(h) of the Freedom of Information Act [5 ILCS 140/3(h)] and implementing Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)].

**SOURCE:** Adopted at 9 Ill. Reg. 7168, effective May 2, 1985; old Part repealed and new Part adopted at 24 Ill. Reg. 12717, effective August 1, 2000; old Part repealed and new Part adopted at 34 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

#### SUBPART A: INTRODUCTION

##### **Section 2251.101 Summary and Purpose**

- a) All records required by law to be filed with the Illinois Racing Board are open for public inspection and may be examined during regular business hours at the Board's central office. An employee of the Board may be present throughout the inspection.
- b) The Freedom of Information Officer shall make available to the public at no charge the following materials:
  - 1) A brief description of the organizational structure and budget of the Board;
  - 2) A brief description of the means for requesting information and records;
  - 3) A list of types and categories of records maintained by the Board;
  - 4) An individual Part of the Board's rules; and
  - 5) A current Annual Report of the Board.
- c) All requests for information shall be in writing and directed to the Board's FOIA Officer via mail, facsimile or electronic communications in accordance with the procedures defined in Sections 2251.301 and 2251.302;
- d) Reasonable attempts will be made to prevent the disclosure of information constituting an "unwarranted invasion of personal privacy", as defined in Section 2251.102, including occupation license applications, unless information requests are made by racing officials in this or other jurisdictions.

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

- e) Should the Board determine the requested information is exempt from disclosure, under Section 7 or 7.5 of FOIA, the FOIA Officer shall notify the requesting party in accordance with the procedures contained within Section 2251.404. If the Board asserts that the records are exempt under Section 2251.202(a)(1)(C) or (F), it will, within the time periods provided for responding to a request, provide written notice to the requester and the Public Access Counselor of its intent to deny the request in whole or in part in accordance with the procedures contained within Section 2251.404.

**Section 2251.102 Definitions**

Terms not defined in this Section shall have the same meaning as in the Freedom of Information Act. The following definitions are applicable for purposes of this Part:

"Act" means the Illinois Horse Racing Act of 1975 [230 ILCS 5].

"Board" means the Illinois Racing Board as established by the Act.

*"Commercial purpose" means the use of any part of a record or records, or information derived from records, in any form for sale, resale or solicitation or advertisement for sales or services. For purposes of this definition, requests made by news media and non-profit, scientific or academic organizations shall not be considered to be made for a "commercial purpose" when the principal purpose of the request is:*

*to access and disseminate information concerning news and current or passing events;*

*for articles of opinion or features of interest to the public; or*

*for the purpose of academic, scientific, or public research or education.*  
(Section 2(c-10) of FOIA)

*"Copying" means the reproduction of any record by means of any photographic, electronic, mechanical, or other process, device or means now known or hereafter developed and available to the Board. (Section 2(d) of FOIA)*

"Director" means the Director of the Board.

"FOIA" means the Freedom of Information Act [5 ILCS 140].

*"News media" means a newspaper or other periodical issued at regular intervals, news service in paper or electronic form, radio station, television station,*

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

*television network, community antenna television service, or person or corporation engaged in making news reels or other motion picture news for public showing. (Section 2(f) of FOIA)*

*"Person" means any individual, corporation, partnership, firm, organization or association, acting individually or as a group. (Section 2(b) of FOIA)*

*"Private information" means unique identifiers, including a person's Social Security number, driver's license number, employee identification number, biometric identifiers, personal financial information, passwords or other access codes, medical records, home or personal telephone numbers, and personal email addresses. Private information also includes home address and personal license plates, except as otherwise provided by law or when compiled without possibility of attribution to any person. (Section 2(c-5) of FOIA)*

*"Public Access Counselor" means an individual appointed to that office by the Attorney General under Section 7 of the Attorney General Act [15 ILCS 205].*

*"Records" means all records, reports, forms, writings, letters, memoranda, books, papers, maps, photographs, microfilms, cards, tapes, recordings, electronic data processing records, electronic communications, recorded information and all other documentary materials pertaining to the transaction of public business, regardless of physical form or characteristics, having been prepared by or for, or having been or being used by, received by, in the possession of or under the control of the Board. (Section 2(c) of FOIA)*

*"Requester" is any person who has submitted a written request, electronically or on paper, for records to the Board.*

*"Unwarranted invasion of personal privacy" means the disclosure of information that is highly personal or objectionable to a reasonable person and in which the subject's right to privacy outweighs any legitimate public interest in obtaining the information. (Section 7(1)(c) of FOIA)*

### SUBPART B: CLASSIFICATION OF RECORDS

#### **Section 2251.201 Records That Will Be Disclosed**

Upon request meeting the requirements of this Part, the Board will disclose to the requester all records requested except that it will not disclose certain records as provided in Section 2251.202. Records covered under this Section shall include, but are not be limited to:

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

- a) *Records of funds. All records relating to the obligation, receipt and use of public funds of the Board are records subject to inspection and copying by the public. (Section 2.5 of FOIA)*
- b) *Payrolls. Certified payroll records submitted to the Board under Section 5(a)(2) of the Prevailing Wage Act [820 ILCS 130] are records subject to inspection and copying in accordance with the provisions of FOIA; except that contractors' and employees' addresses, telephone numbers, and Social Security numbers will be redacted by the Board prior to disclosure. (Section 2.10 of FOIA);*
- c) *Criminal history records. The following documents maintained by the Board pertaining to criminal history record information are records subject to inspection and copying by the public pursuant to FOIA:*
  - 1) *Court records that are public;*
  - 2) *Records that are otherwise available under State or local law; and*
  - 3) *Records in which the requesting party is the individual identified, except as provided under Section 2251.202(a)(1)(D) of this Part. (Section 2.15(b) of FOIA)*
- d) *Settlement agreements. All settlement agreements entered into by or on behalf of the Board are records subject to inspection and copying by the public, provided that information exempt from disclosure under Section 2251.202 of this Part may be redacted. (Section 2.20 of FOIA)*

**Section 2251.202 Records That Will Be Withheld from Disclosure**

- a) *When a request is made to inspect or copy a record that contains information that is otherwise exempt from disclosure under this Section, but also contains information that is not exempt from disclosure, the Board will make the remaining information available for inspection and copying.*
  - 1) *Subject to this requirement and Section 7 of FOIA, the following shall be exempt from inspection and copying:*
    - A) *Information specifically prohibited from disclosure by federal or State law or rules and regulations implementing federal or State law;*
    - B) *Files, documents and other data or databases maintained by one or more law enforcement agencies and specifically designed to*

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

*provide information to one or more law enforcement agencies regarding the physical or mental status of one or more individual subjects;*

- C) *Personal information contained within records, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy, unless the disclosure is consented to in writing by the individual subjects of the information. "Unwarranted invasion of personal privacy" means the disclosure of information that is highly personal or objectionable to a reasonable person and in which the subject's right to privacy outweighs any legitimate public interest in obtaining the information. The disclosure of information that bears on the public duties of public employees and officials shall not be considered an invasion of personal privacy;*
- D) *Records in the possession of any public body created in the course of administrative enforcement proceedings, and any law enforcement or correctional agency for law enforcement purposes, but only to the extent that disclosure would:*
  - i) *Interfere with pending or actually and reasonably contemplated law enforcement proceedings conducted by any law enforcement or correctional agency that is the recipient of the request;*
  - ii) *Interfere with active administrative enforcement proceedings conducted by the public body that is the recipient of the request;*
  - iii) *Create a substantial likelihood that a person will be deprived of a fair trial or an impartial hearing;*
  - iv) *Unavoidably disclose the identity of a confidential source, confidential information furnished only by the confidential source, or persons who file complaints with or provide information to administrative, investigative, law enforcement, or penal agencies; except that the Board will provide traffic accident reports, the identities of witnesses to traffic accidents, and rescue reports, except when disclosure would interfere with an active criminal investigation;*



ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

- v) *Disclose unique or specialized investigative techniques other than those generally used and known, or disclose internal documents of correctional agencies related to detection, observation or investigation of incidents of crime or misconduct, and disclosure would result in demonstrable harm to the Board;*
- vi) *Endanger the life or physical safety of law enforcement personnel or any other person; or*
- vii) *Obstruct an ongoing criminal investigation by the Board;*
- E) *Preliminary drafts, notes, recommendations, memoranda and other records in which opinions are expressed, or policies or actions are formulated, except that a specific record or relevant portion of a record shall not be exempt when the record is publicly cited and identified by the Executive Director of the Board. The exemption provided in this subsection (a)(1)(E) extends to all those records of officers and agencies of the General Assembly that pertain to the preparation of legislative documents;*
- F) *Trade secrets and commercial or financial information obtained from a person or business when the trade secrets or commercial or financial information are furnished under a claim that they are proprietary, privileged or confidential, and that disclosure of the trade secrets or commercial or financial information would cause competitive harm to the person or business, and only insofar as the claim directly applies to the records requested. All trade secrets and commercial or financial information obtained by the Board, including a public pension fund, from a private equity fund or a privately held company within the investment portfolio of a private equity fund as a result of either investing or evaluating a potential investment of public funds in a private equity fund. The exemption contained in this subsection (a)(1)(F) does not apply to the aggregate financial performance information of a private equity fund, nor to the identity of the fund's managers or general partners. The exemption contained in this subsection (a)(1)(F) does not apply to the identity of a privately held company within the investment portfolio of a private equity fund, unless the disclosure of the identity of a privately held company may cause competitive harm. Nothing in this subsection (a)(1)(F) shall be construed to prevent a person or business from consenting to disclosure;*

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

- G) *Proposals and bids for any contract, grant or agreement, including information that if it were disclosed would frustrate procurement or give an advantage to any person proposing to enter into a contractor agreement with the Board, until an award or final selection is made. Information prepared by or for the Board in preparation of a bid solicitation shall be exempt until an award or final selection is made;*
- H) *Valuable formulae, computer geographic systems, designs, drawings and research data obtained or produced by the Board when disclosure could reasonably be expected to produce private gain or public loss. The exemption for "computer geographic systems" provided in this subsection (a)(1)(H) does not extend to requests made by news media as defined in Section 2251.102 when the requested information is not otherwise exempt and the only purpose of the request is to access and disseminate information regarding the health, safety, welfare or legal rights of the general public;*
- I) *Architects' plans and engineers' technical submissions, and other construction related technical documents for projects not constructed or developed in whole or in part with public funds and for projects constructed or developed with public funds, including but not limited to power generating and distribution stations and other transmission and distribution facilities, water treatment facilities, airport facilities, sport stadiums, convention centers, and all government owned, operated or occupied buildings, but only to the extent that disclosure would compromise security;*
- J) *Minutes of meetings of the Board closed to the public as provided in the Open Meetings Act [5 ILCS 120] until the Board makes the minutes available to the public under Section 2.06 of the Open Meetings Act;*
- K) *Communications between the Board and an attorney or auditor representing the Board that would not be subject to discovery in litigation, and materials prepared or compiled by or for the Board in anticipation of a criminal, civil or administrative proceeding upon the request of an attorney advising the Board, and materials prepared or compiled with respect to internal audits of the Board;*
- L) *Records relating to the Board's adjudication of employee grievances or disciplinary cases; however, this exemption shall not*

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

*extend to the final outcome of cases in which discipline is imposed;*

- M) *Administrative or technical information associated with automated data processing operations, including but not limited to software, operating protocols, computer program abstracts, file layouts, source listings, object modules, load modules, user guides, documentation pertaining to all logical and physical design of computerized systems, employee manuals, and any other information that, if disclosed, would jeopardize the security of the system or its data or the security of materials exempt under this Section;*
- N) *Records relating to collective negotiating matters between the Board and its employees or representatives, except that any final contract or agreement shall be subject to inspection and copying;*
- O) *Test questions, scoring keys, and other examination data used to determine the qualifications of an applicant for a license or employment;*
- P) *The records, documents and information relating to real estate purchase negotiations until those negotiations have been completed or otherwise terminated. With regard to a parcel involved in a pending or actually and reasonably contemplated eminent domain proceeding under the Eminent Domain Act [735 ILCS 30], records, documents and information relating to that parcel shall be exempt except as may be allowed under discovery rules adopted by the Illinois Supreme Court. The records, documents and information relating to a real estate sale shall be exempt only until a sale is consummated;*
- Q) *Any and all proprietary information and records related to the operation of an intergovernmental risk management association or self-insurance pool or jointly self-administered health and accident cooperative or pool. Insurance or self-insurance (including any intergovernmental risk management association or self-insurance pool) claims, loss or risk management information, records, data, advice or communications;*
- R) *Information that would disclose or might lead to the disclosure of secret or confidential information, codes, algorithms, programs or private keys intended to be used to create electronic or digital signatures under the Electronic Commerce Security Act [5 ILCS*

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

175];

- S) *Vulnerability assessments, security measures and response policies or plans that are designed to identify, prevent or respond to potential attacks upon a community's population or systems, facilities, or installations, the destruction or contamination of which would constitute a clear and present danger to the health or safety of the community, but only to the extent that disclosure could reasonably be expected to jeopardize the effectiveness of the measures or the safety of the personnel who implement them or the public. Information exempt under this subsection (a)(1)(S) may include such things as details pertaining to the mobilization or deployment of personnel or equipment, to the operation of communication systems or protocols, or to tactical operations;*
  - T) *Information contained in or related to proposals, bids or negotiations related to electric power procurement under Section 1-75 of the Illinois Power Agency Act [220 ILCS 3855] and Section 16-111.5 of the Public Utilities Act [220 ILCS 5] that is determined to be confidential and proprietary by the Illinois Power Agency or by the Illinois Commerce Commission;*
  - U) *Information the disclosure of which is exempted under the Viatical Settlements Act [215 ILCS 158] (Section 7(1) of FOIA); and*
- 2) *A record that is not in the possession of the Board but is in the possession of a party with whom the Board has contracted to perform a governmental function on behalf of the Board, and that directly relates to the governmental function and is not otherwise exempt under FOIA, shall be considered a record of the Board for purposes of Subpart C of this Part. (Section 7(2) of FOIA)*

### SUBPART C: PROCEDURES FOR REQUESTING RECORDS FROM THE BOARD

#### **Section 2251.301 Submittal of Requests for Records**

Any request for records should be submitted in writing to the Board's FOIA Officer. The FOIA Officer is located in the Central Office of the Illinois Racing Board at the James R. Thompson Center in Chicago. Contact information for the FOIA Officer can be found online at [www.state.il.us/agency/irb/racing/inside/FOIA%20Contact.htm](http://www.state.il.us/agency/irb/racing/inside/FOIA%20Contact.htm). FOIA requests may be submitted via mail, e-mail, fax or hand delivery. Requests should be mailed or hand delivered to:

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

Illinois Racing Board  
100 W. Randolph St.  
Suite 7-701  
Chicago IL 60601  
Attn: FOIA Officer

E-mailed requests should be sent to [IRB.info@illinois.gov](mailto:IRB.info@illinois.gov), contain the request in the body of the e-mail, and indicate in the subject line of the e-mail that it contains a FOIA request. Faxed FOIA requests should be faxed to 312-814-5062, Attn: FOIA Officer.

#### **Section 2251.302 Information To Be Provided in Requests for Records**

A request for records should include:

- a) The complete name, mailing address and telephone number of the requester;
- b) As specific a description as possible of the records sought. Requests that the Board considers unduly burdensome or categorical may be denied (see Section 3(g) of FOIA and Section 2251.402 of this Part);
- c) A statement as to the requested medium and format for the Board to use in providing the records sought: for example, paper, specific types of digital or magnetic media, or videotape;
- d) A statement as to the requested manner for the Board to use in providing the records sought: for example, inspection at Board headquarters or providing paper or electronic copies;
- e) A statement as to whether the requester needs certified copies of all or any portion of the records, including reference to the specific documents that require certification; and
- f) A statement as to whether the request is for a commercial purpose.

#### **Section 2251.303 Requests for Records for Commercial Purposes**

- a) It is a violation of FOIA for a person to knowingly obtain a record for a commercial purpose without disclosing that it is for a commercial purpose if requested to do so by the Board.
- b) *The Board will respond to a request for records to be used for a commercial purpose within 21 working days after receipt. The response shall:*

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

- 1) *Provide to the requester an estimate of the time required by the Board to provide the records requested and an estimate of the fees to be charged, which the Board may require the person to pay in full before copying the requested documents;*
  - 2) *Deny the request pursuant to one or more of the exemptions set out in Section 2251.202;*
  - 3) *Notify the requester that the request is unduly burdensome and extend an opportunity to the requester to attempt to reduce the request to manageable proportions; or*
  - 4) *Provide the records requested. (Section 3.1(a) of FOIA)*
- c) *Unless the records are exempt from disclosure, the Board will comply with a request within a reasonable period considering the size and complexity of the request, and giving priority to records requested for non-commercial purposes. (Section 3.1(b) of FOIA)*

### SUBPART D: BOARD RESPONSE TO REQUESTS FOR RECORDS

#### **Section 2251.401 Timeline for Board Response**

- a) *Except as stated in subsection (b), the Board will respond to any written request for records within 5 business days after its receipt of the request. Failure to comply with a written request, extend the time for response, or deny a request within 5 business days after its receipt shall be considered a denial of the request. If the Board fails to respond to a request within the requisite periods in this subsection, but thereafter provides the requester with copies of the requested records, it will not impose a fee for the copies. If the Board fails to respond to a request received, it will not treat the request as unduly burdensome as provided under Section 2251.402. (Section 3(d) of FOIA) A written request from the Board to provide additional information pursuant to Section 2251.303(b)(3) shall be considered a response to the FOIA request.*
- b) *The time limits prescribed in subsection (a) may be extended by the Board for not more than 5 business days from the original due date for any of the following reasons:*
  - 1) *The requested records are stored in whole or in part at locations other than the office having charge of the requested records;*

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

- 2) *The request requires the collection of a substantial number of specified records;*
  - 3) *The request is couched in categorical terms and requires an extensive search for the records responsive to it;*
  - 4) *The requested records have not been located in the course of routine search and additional efforts are being made to locate them;*
  - 5) *The requested records require examination and evaluation by personnel having the necessary competence and discretion to determine if they are exempt from disclosure under Section 7 or 7.5 of FOIA or should be revealed only with appropriate deletions;*
  - 6) *The request for records cannot be complied with by the Board within the time limits prescribed by subsection (a) without unduly burdening or interfering with the operations of the Board; or*
  - 7) *There is a need for consultation, which shall be conducted with all practicable speed, with another public body or among two or more components of a public body having a substantial interest in the determination or in the subject matter of the request. (Section 3(e) of FOIA)*
- c) *The person making a request and the Board may agree in writing to extend the time for compliance for a period to be determined by the parties. If the requester and the Board agree to extend the period for compliance, a failure by the Board to comply with any previous deadlines shall not be treated as a denial of the request for the records. (Section 3(e) of FOIA)*
- d) *When additional time is required for any of the reasons set forth in subsection (b), the Board will within 5 business days after receipt of the request, notify the person making the request of the reasons for the extension and the date by which the response will be forthcoming. Failure to respond within the time permitted for extension shall be considered a denial of the request. If the Board fails to respond to a request within the time permitted for extension but thereafter provides the requester with copies of the requested records, it may not impose a fee for those copies. If the Board requests an extension and subsequently fails to respond to the request, it will not treat the request as unduly burdensome under Section 2251.402. (Section 3(f) of FOIA)*

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

#### **Section 2251.402 Requests for Records that the Board Considers Unduly Burdensome**

- a) *The Board will fulfill requests calling for all records falling within a category unless compliance with the request would unduly burden the Board, there is no way to narrow the request, and the burden on the Board outweighs the public interest in the information. Before invoking this exemption, the Board will extend to the requester an opportunity to confer with it in an attempt to reduce the request to manageable proportions. (Section 3(g) of FOIA) The amended request must be in writing.*
- b) *If the Board determines that a request is unduly burdensome, it will do so in writing, specifying the reasons why it would be unduly burdensome and the extent to which compliance will so burden the operations of the Board. The response shall be treated as a denial of the request for information. (Section 3(g) of FOIA)*
- c) *Repeated requests for records that are unchanged or identical to records previously provided or properly denied under this Section from the same person shall be deemed unduly burdensome. (Section 3(g) of FOIA)*

#### **Section 2251.403 Requests for Records that Require Electronic Retrieval**

- a) A request for records that requires electronic retrieval will be treated the same as any other request for records, with the same timeline and extensions as allowed for other records.
- b) The Board will retrieve and provide electronic records only in a format and medium that is available to the Board.

#### **Section 2251.404 Denials of Requests for Records**

- a) The Board will deny requests for records when:
  - 1) Compliance with the request would unduly burden the Board, as determined pursuant to Section 2251.402, and the requester has not reduced the request to manageable proportions;
  - 2) The records are exempt from disclosure pursuant to Section 7 or 7.5 of FOIA or Section 2251.202 of this Part; or
- b) *The denial of a request for records will be in writing.*
  - 1) *The notification shall include a description of the records denied; the reason for the denial, including a detailed factual basis for the application*



ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

*of any exemption claimed; and the names and titles or positions of each person responsible for the denial (Section 9(a) of FOIA);*

- 2) *Each notice of denial shall also inform such person of the right to review by the Public Access Counselor and provide the address and phone number for the Public Access Counselor. The notice of denial will inform the requester of the right to review under Section 2251.406 (Section 9(a) of FOIA); and*
- 3) *When a request for records is denied on the grounds that the records are exempt under Section 7 or 7.5 of FOIA, the notice of denial shall specify the exemption claimed to authorize the denial and the specific reasons for the denial, including a detailed factual basis and a citation to the supporting legal authority (Section 9(b) of FOIA).*
- c) A requester may treat the Board's failure to respond to a request for records within 5 business days after receipt of the written request as a denial for purposes of the right to review by the Public Access Counselor.
- d) If the Board has given written notice pursuant to Section 2251.401(d), failure to respond to a written request within the time permitted for extension may be treated as a denial for purposes of the right to review by the Public Access Counselor.
- e) *Any person making a request for records shall be deemed to have exhausted his or her administrative remedies with respect to that request if the Board fails to act within the time periods provided in Section 2251.401. (Section 9(c) of FOIA)*

**Section 2251.405 Requests for Review of Denials – Public Access Counselor**

- a) As indicated in Section 9.5 of FOIA, *a person whose request to inspect or copy a record is denied by the Board may file a request for review with the Public Access Counselor established in the Office of the Attorney General not later than 60 days after the date of the final denial. (Section 9.5(a) of FOIA)*
- b) *If the Board asserts that the records are exempt under Section 2251.202(a)(1)(C) or (F), it will, within the time periods provided for responding to a request, provide written notice to the requester and the Public Access Counselor of its intent to deny the request in whole or in part. The notice shall include:*
  - 1) *A copy of the request for access to records;*
  - 2) *The proposed response from the Board; and*

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

- 3) *A detailed summary of the Board's basis for asserting the exemption. (Section 9.5(b) of FOIA)*
- c) *Upon receipt of a notice of intent to deny from the Board, the Public Access Counselor shall determine whether further inquiry is warranted. The Public Access Counselor shall process the notification of intent to deny as detailed in Section 9.5(b) of FOIA. Times for response or compliance by the Board under Section 2251.401 shall be tolled until the Public Access Counselor concludes his or her inquiry. (Section 9.5(b) of FOIA)*
- d) *Within 7 working days after the Board receives a request for review from the Public Access Counselor, the Board will provide copies of records requested and will otherwise fully cooperate with the Public Access Counselor. (Section 9.5(c) of FOIA)*
- e) *Within 7 working days after it receives a copy of a request for review and request for production of records from the Public Access Counselor, the Board may, but is not required to, answer the allegations of the request for review. The answer may take the form of a letter, brief or memorandum. The Public Access Counselor shall forward a copy of the answer to the person submitting the request for review, with any alleged confidential information to which the request pertains redacted from the copy. (Section 9.5(d) of FOIA)*
- f) *The requester may, but is not required to, respond in writing to the answer within 7 working days and shall provide a copy of the response to the Board. (Section 9.5(d) of FOIA)*
- g) *In addition to the request for review, and the answer and response thereto, if any, a requester or the Board may furnish affidavits or records concerning any matter germane to the review. (Section 9.5(e) of FOIA)*
- h) *A binding opinion from the Attorney General shall be binding upon both the requester and the Board, subject to administrative review under Section 2251.407. (Section 9.5(f) of FOIA)*
- i) *If the Attorney General decides to exercise his or her discretion to resolve a request for review by mediation or by a means other than issuance of a binding opinion, the decision not to issue a binding opinion shall not be reviewable. (Section 9.5(f) of FOIA)*
- j) *Upon receipt of a binding opinion concluding that a violation of FOIA has occurred, the Board will either take necessary action immediately to comply with*

## ILLINOIS REGISTER

---

### ILLINOIS RACING BOARD

#### NOTICE OF ADOPTED RULES

*the directive of the opinion or will initiate administrative review under Section 2251.407. If the opinion concludes that no violation of FOIA has occurred, the requester may initiate administrative review under Section 2251.407. (Section 9.5(f) of FOIA)*

- k) *If the Board discloses records in accordance with an opinion of the Attorney General, the Board is immune from all liabilities by reason thereof and shall not be liable for penalties under FOIA. (Section 9.5(f) of FOIA)*
- l) *If the requester files suit under Section 2251.406 with respect to the same denial that is the subject of a pending request for review, the requester shall notify the Public Access Counselor, and the Public Access Counselor shall so notify the Board. (Section 9.5(g) of FOIA)*
- m) *The Attorney General may also issue advisory opinions to the Board regarding compliance with FOIA. A review may be initiated upon receipt of a written request from the Executive Director of the Board or the Board's Chief Legal Counsel, which shall contain sufficient accurate facts from which a determination can be made. The Public Access Counselor may request additional information from the Board in order to assist in the review. If the Board relies in good faith on an advisory opinion of the Attorney General in responding to a request, the Board is not liable for penalties under FOIA, so long as the facts upon which the opinion is based have been fully and fairly disclosed to the Public Access Counselor. (Section 9.5(h) of FOIA)*

#### **Section 2251.406 Circuit Court Review**

A requester also has the right to file suit for injunctive or declaratory relief in the Circuit Court for Sangamon County or for the county in which the requester resides, in accordance with the procedures set forth in Section 11 of FOIA.

#### **Section 2251.407 Administrative Review**

*A binding opinion issued by the Attorney General shall be considered a final decision of an administrative agency, for purposes of administrative review under the Administrative Review Law [735 ILCS 5/Art. III]. An action for administrative review of a binding opinion of the Attorney General shall be commenced in Cook County or Sangamon County. An advisory opinion issued to the Board shall not be considered a final decision of the Attorney General for purposes of this Section. (Section 11.5 of FOIA)*

#### **SUBPART E: PROCEDURES FOR PROVIDING RECORDS TO REQUESTERS**

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

**Section 2251.501 Inspection and Copying of Records**

- a) The Board may make available records for personal inspection at the Board's central office located at the James R. Thompson Center, 100 W. Randolph St., Ste. 7-701, Chicago IL 60601. The Board may provide records in duplicate forms including, but not limited to, paper copies, data processing printouts, videotape, microfilm, audio tape, reel to reel microfilm, photographs and computer disks.
- b) *When a person requests a copy of a record maintained in an electronic format, the Board shall furnish it in the electronic format specified by the requester, if feasible. If it is not feasible to furnish the records in the specified electronic format, then the Board will furnish it in the format in which it is maintained by the Board, or in paper format at the option of the requester. (Section 6(a) of FOIA)*
- c) A requester may inspect records at the Board's headquarters or at another location agreed to by both the Board and the requester by appointment only, scheduled subject to space availability. The Board will schedule inspection appointments to take place during normal business hours, which are 8:30 AM to 5:00 PM Monday through Friday, exclusive of State holidays. If the requester must cancel the viewing appointment, the requester shall so inform the Board as soon as possible before the appointment.
- d) In order to maintain routine Board operations, the requester may be asked to leave the inspection area for a specified period of time.
- e) The requester will have access only to the designated inspection area.
- f) Requesters shall not be permitted to take briefcases, folders or similar materials into the room where the inspection takes place. A Board employee may be present during the inspection.
- g) The requester shall segregate and identify the documents to be copied during the course of the inspection.

**Section 2251.502 Fees for Records**

- a) In accordance with Section 2251.503 and unless a fee is otherwise fixed by statute, the Board will provide copies of records and certifications of records in accordance with the fee schedule set forth in Appendix A.
- b) *In calculating its actual cost for reproducing records or for the use of the equipment of the Board to reproduce records, the Board will not include the costs*

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

*of any search for and review of the records or other personnel costs associated with reproducing the records. (Section 6(b) of FOIA)*

- c) In order to expedite the copying of records that the Board cannot copy, due to the volume of the request or the operational needs of the Board, in the timelines established in Section 2251.401, the requester may provide, at the requester's expense, the copy machine, all necessary materials, and the labor to copy the records at the Board headquarters in the James R. Thompson Center.
- d) *Copies of records will be provided to the requester only upon payment of any fees due. The Board may charge the requester for the actual cost of purchasing the recording medium, whether disc, diskette, tape, or other medium, but the Board may not charge the requester for the costs of any search for and review of the records or other personnel costs associated with reproducing the records. (Section 6(a) of FOIA) Payment must be by check or money order sent to the Board, payable to "Treasurer, State of Illinois".*
- e) If a contractor is used to inspect or copy records, the following procedures shall apply:
  - 1) The requester, rather than the Board, must contract with the contractor;
  - 2) The requester is responsible for all fees charged by the contractor;
  - 3) The requester must notify the Board of the contractor to be used prior to the scheduled on-site inspection or copying;
  - 4) Only Board personnel may provide records to the contractor;
  - 5) The Board must have verification that the requester has paid the Board, if payment is due, for the copying of the records before providing the records to the contractor; and
  - 6) The requester must provide to the Board the contractor's written agreement to hold the records secure, to copy the records only for the purpose stated by the requester, and to return the records at a specified date and time.

### **Section 2251.503 Reduction and Waiver of Fees**

- a) *Fees may be reduced or waived by the Board if the requester states the specific purpose for the request and indicates that a waiver or reduction of the fee is in the public interest. In making this determination, the Board will consider the*

ILLINOIS REGISTER

---

ILLINOIS RACING BOARD

NOTICE OF ADOPTED RULES

*following:*

- 1) *Whether the principal purpose of the request is to disseminate information regarding the health, safety, welfare or legal rights of the general public; and*
  - 2) *Whether the principal purpose of the request is personal or commercial benefit. For purposes of this subsection (a), "commercial benefit" shall not apply to requests made by news media when the principal purpose of the request is to access and disseminate information regarding the health, safety, welfare or legal rights of the general public. (Section 6(c) of FOIA)*
- b) The Board will provide records without charge to federal, State and municipal agencies, Constitutional officers and members of the General Assembly, and not-for-profit organizations in good standing with the Secretary of State's Office.
- c) *Except to the extent that the General Assembly expressly provides, statutory fees applicable to copies of records when furnished in a paper format will not be applicable to those records when furnished to a requester in an electronic format. (Section 6(a) of FOIA)*

**Section 2251.APPENDIX A Fee Schedule for Duplication and Certification of Records**

TYPE OF DUPLICATION	FEE (PER COPY)
Paper copy from original, up to and including 50 copies of black and white, letter or legal sized copies	No charge
Paper copy from original, in excess of 50 copies of black and white, letter or legal sized copies	\$.15/page
Paper copy from microfilm original	\$.15/page
VHS video copy of tape	Actual cost of the reproduction
Audio tape copy of tape	Actual cost of the reproduction
CD ROM disk	Actual cost of the reproduction
Photograph from negative	Actual cost of the reproduction

# ILLINOIS REGISTER

---

## ILLINOIS RACING BOARD

### NOTICE OF ADOPTED RULES

Blueprints/oversized prints	Actual cost of the reproduction
Paper copies in color or in a size other than letter or legal	Actual cost of the reproduction
Certification fee	\$1.00/record